



# INPP

INSTITUTE OF NUCLEAR & PARTICLE PHYSICS

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## The Nuclear Force through the Decades

**Charlotte Elster**

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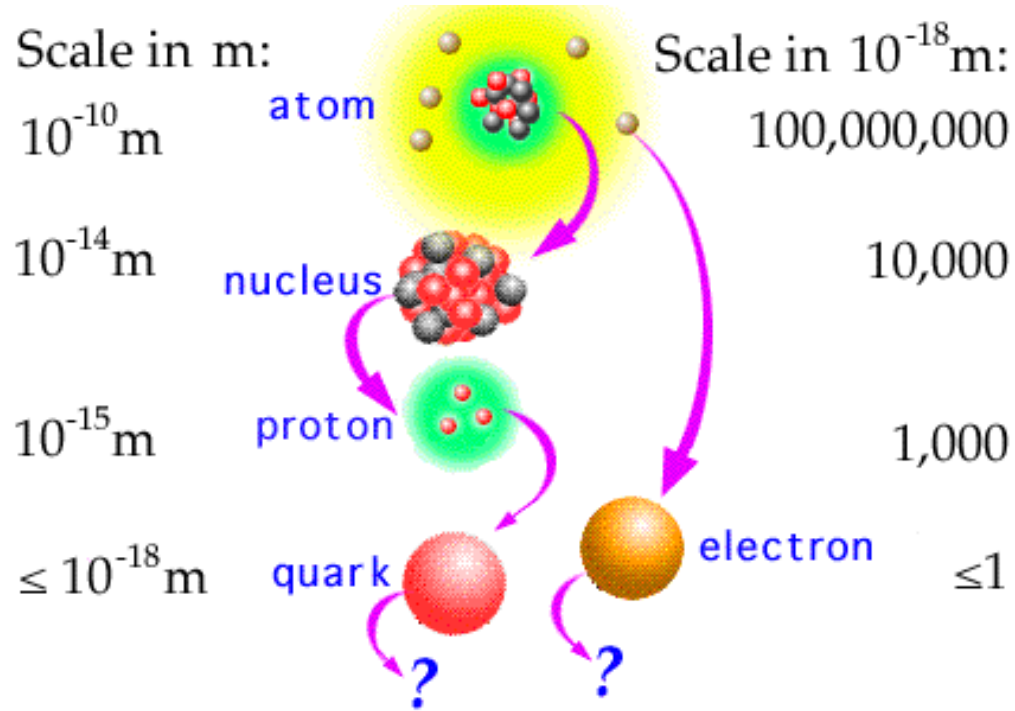
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# Scales in Nature



# Early History of Nuclear Physics

1932

- Chadwick: Discovery of the neutron
- Heisenberg: Postulates Iso-Spin

... suggests the assumption that atomic nuclei are built from protons and neutrons without electrons ..

## Über den Bau der Atomkerne. I.

Von W. Heisenberg in Leipzig.

Mit 1 Abbildung. (Eingegangen am 7. Juni 1932.)

Es werden die Konsequenzen der Annahme diskutiert, daß die Atomkerne aus Protonen und Neutronen ohne Mitwirkung von Elektronen aufgebaut seien. § 1. Die Hamiltonfunktion des Kerns. § 2. Das Verhältnis von Ladung und Masse und die besondere Stabilität des He-Kerns. § 3 bis 5. Stabilität der Kerne und radioaktive Zerfallsreihen. § 6. Diskussion der physikalischen Grundannahmen.

Durch die Versuche von Curie und Joliot<sup>1)</sup> und deren Interpretation durch Chadwick<sup>2)</sup> hat es sich herausgestellt, daß im Aufbau der Kerne ein neuer fundamentaler Baustein, das Neutron, eine wichtige Rolle spielt. Dieses Ergebnis legt die Annahme nahe, die Atomkerne seien aus Protonen und Neutronen ohne Mitwirkung von Elektronen aufgebaut<sup>3)</sup>. Ist diese Annahme richtig, so bedeutet sie eine außerordentliche Vereinfachung für die Theorie der Atomkerne. Die fundamentalen Schwierigkeiten, denen man

# Concept of Iso-Spin (Isobaric Spin)

- The mass of the neutron and the proton are almost identical: they are nearly degenerate, and both are thus often called **nucleon**
- Although the proton has a positive charge, and the neutron is neutral, they are almost identical in all other respects.
- The strong interaction between any pair of nucleons is almost the same, independent of whether they are interacting as protons or as neutrons
- Iso-spin operator  $\tau$  in iso-spin space:      proton:  $\tau_z = 1/2$       neutron:  $\tau_z = -1/2$
- **SU(2) symmetry**: follows the same algebra as spin
- Can be considered as rotation in iso-spin space
- Neutron and proton characterized by projections of  $\tau$

# Early History of Nuclear Physics

1932

Chadwick: Discovery of the neutron  
Heisenberg: Postulates Iso-Spin

1935

Yukawa: Meson Hypothesis

From:  
H. Yukawa,  
Proc. Phys.Math.Soc. Japan **17**, 48 (1935)

**Nuclear Force is mediated by the exchange of a particle with mass**

## §2. Field describing the interaction

In analogy with the scalar potential of the electromagnetic field, a function  $U(x, y, z, t)$  is introduced to describe the field between the neutron and the proton. This function will satisfy an equation similar to the wave equation for the electromagnetic potential.

Now the equation

$$\left\{ \Delta - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right\} U = 0 \quad (1)$$

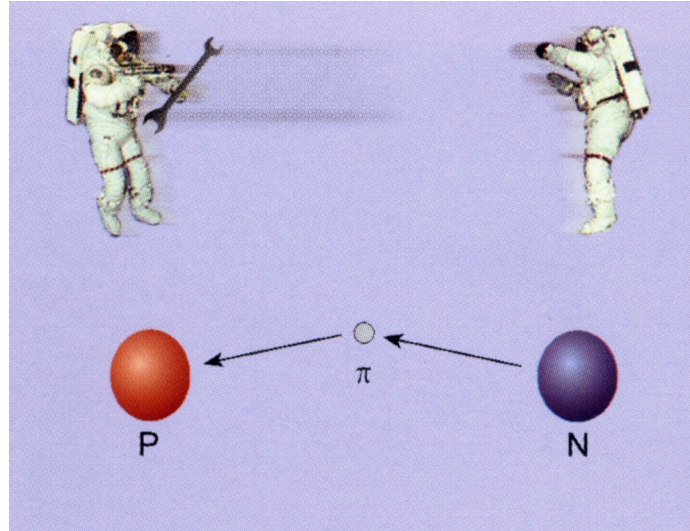
has only static solution with central symmetry  $\frac{1}{r}$ , except the additive and the multiplicative constants. The potential of force between the neutron and the proton should, however, not be of Coulomb type, but decrease more rapidly with distance. It can be expressed, for example, by

$$+ \text{ or } \left( -g^2 \frac{e^{-\lambda r}}{r} \right), \quad (2)$$

# Repulsive force mediated through exchange of particle (here wrench)

Yukawa prediction:

Mass  $\approx 140$  MeV



Birth of  
Particle Physics

Attractive force: think of Boomerang exchanged

# Early History of Nuclear Physics

1932

- Chadwick: Discovery of the neutron
- Heisenberg: Postulates Iso-Spin

1935

Yukawa: Meson Hypothesis

1940

Discovery of the pion in cosmic rays (1947)  
and in the Berkeley Cyclotron Lab (1948)  
Yukawa: Nobelprize (1949)

1950

One-Pion-Exchange (OPE) o.k.  
Taketani, Nakamura, Sasaki (1951) 3 ranges  
Multi-pion exchange theories –  
**BUT - Problems with renormalization**

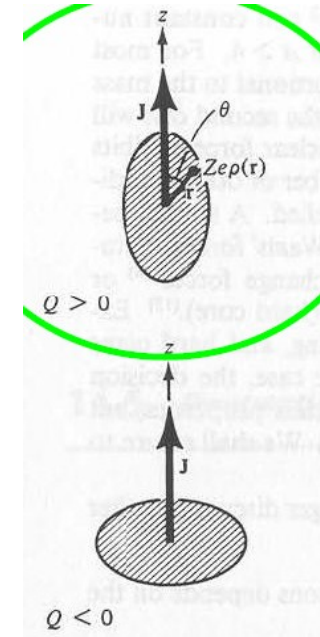
# Information about the nuclear force

|                            |                          |
|----------------------------|--------------------------|
| Binding energy             | 2.225 MeV                |
| Spin, parity               | $1^+$                    |
| Isospin                    | 0                        |
| Magnetic moment            | $\mu=0.857 \mu_N$        |
| Electric quadrupole moment | $Q=0.282 \text{ e fm}^2$ |

$$\mu_p + \mu_n = 2.792\mu_N - 1.913\mu_N = 0.879\mu_N$$

$$|\psi_d\rangle = 0.98|{}^3S_1\rangle + 0.20|{}^3D_1\rangle$$

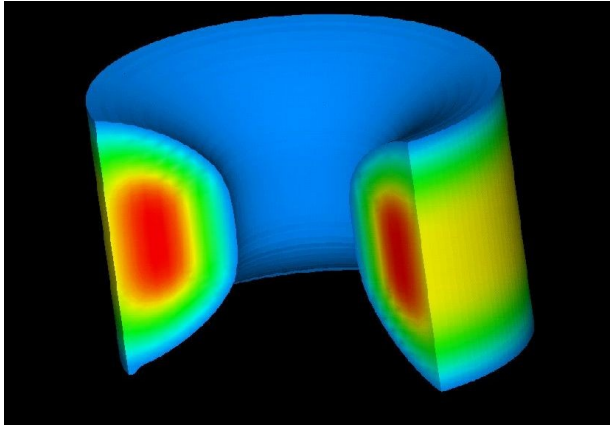
## Deuteron



rms radius = 1.963 fm



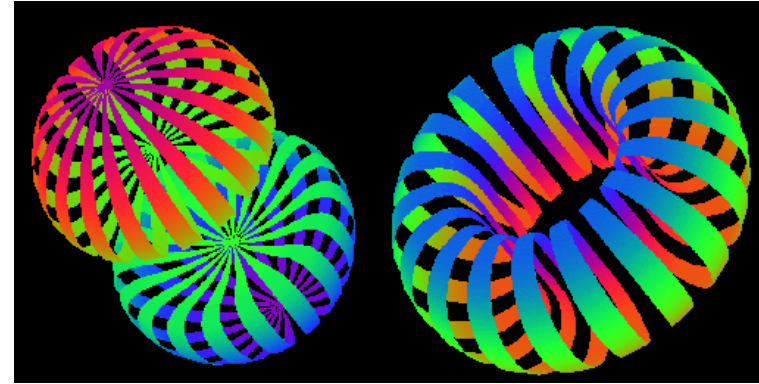
# Deuteron is **not** spherical



Probability density for both  
Nucleons having spin down

## Tensor Force

$$(-S_{12}) = -3(\vec{\sigma}_1 \cdot \hat{r})(\vec{\sigma}_2 \cdot \hat{r}) + \vec{\sigma}_1 \cdot \vec{\sigma}_2$$



Deuteron shapes from AV18



A

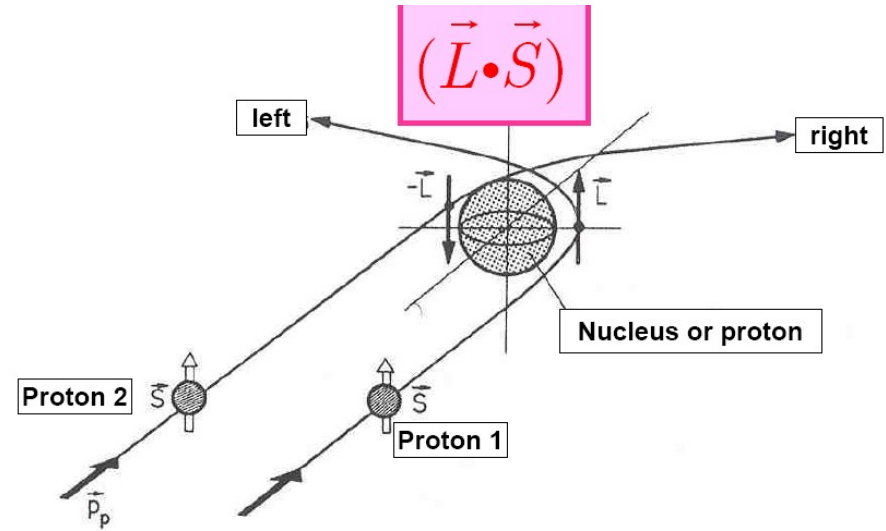
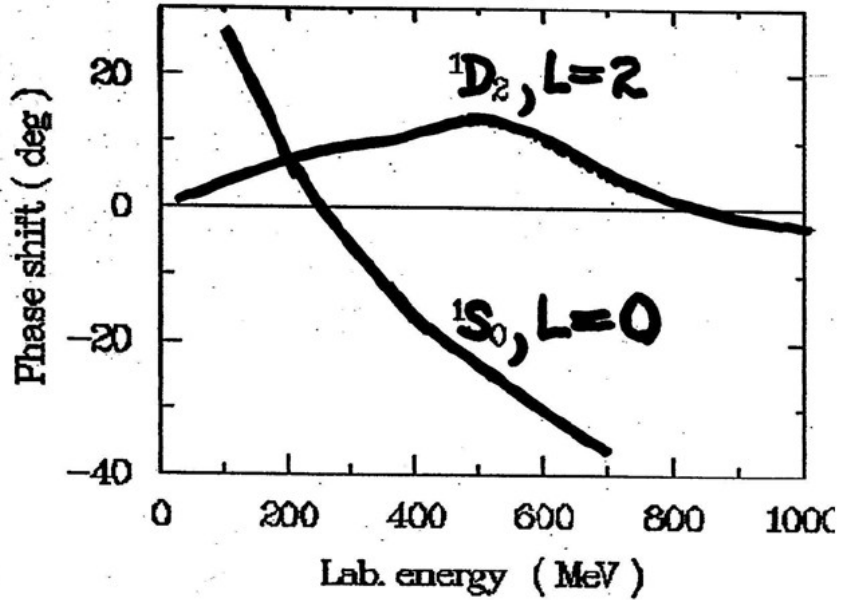
$M_d = \pm 1$



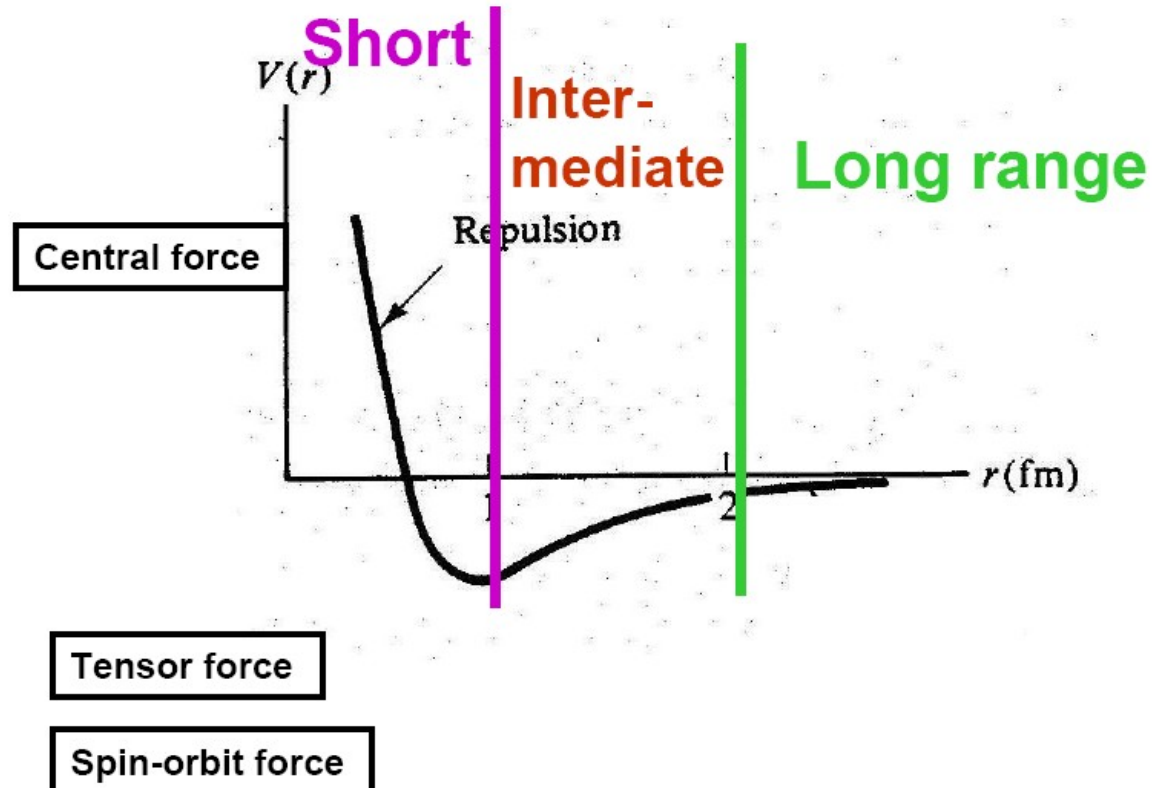
B

$M_d = 0$

# Spin Orbit Force



# General structure of the nuclear force



# Most General Form of the NN Force: Galilei Invariance

ANNALS OF PHYSICS: 4, 166-179 (1958)

## Velocity Dependence of the Two-Nucleon Interaction\*

S. OKUBO AND R. E. MARSHAK

*University of Rochester, Rochester, New York*

Invariance arguments are used to derive the most general velocity dependent charge independent two-nucleon interaction in the nonrelativistic approximation. If one stays on the energy shell, the only essentially new term in the two-nucleon interaction is the quadratic spin-orbit potential. Off the energy shell, the quadratic spin-momentum potential must be treated as independent. In meson theory, the quadratic terms arise as  $(\mu/M)^2$  ( $\mu$  is the pion mass,  $M$  the nucleon mass) corrections to the second-order static potential in contrast to the linear spin-orbit potential which originates as a  $(\mu/M)$  correction to the fourth-order static potential.

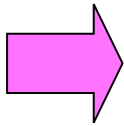
# Two spin-1/2 particles: at most 5 linear independent spin-momentum operators

Vectors in  $\mathbb{R}^3$ :  
 $\mathbf{p}' - \mathbf{p}$   $\mathbf{p}' + \mathbf{p}$  and  $\mathbf{p}' \times \mathbf{p}$

$$\begin{aligned} w_1(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= 1 \\ w_2(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \\ w_3(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= i (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot (\mathbf{p} \times \mathbf{p}') \\ w_4(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= \boldsymbol{\sigma}_1 \cdot (\mathbf{p} \times \mathbf{p}') \boldsymbol{\sigma}_2 \cdot (\mathbf{p} \times \mathbf{p}') \\ w_5(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= \boldsymbol{\sigma}_1 \cdot (\mathbf{p}' + \mathbf{p}) \boldsymbol{\sigma}_2 \cdot (\mathbf{p}' + \mathbf{p}) \\ w_6(\boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \mathbf{p}', \mathbf{p}) &= \boldsymbol{\sigma}_1 \cdot (\mathbf{p}' - \mathbf{p}) \boldsymbol{\sigma}_2 \cdot (\mathbf{p}' - \mathbf{p}) \end{aligned}$$

on-shell:

$$\begin{aligned} \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 &= \frac{1}{(\mathbf{p} \times \mathbf{p}')^2} \boldsymbol{\sigma}_1 \cdot (\mathbf{p} \times \mathbf{p}') \boldsymbol{\sigma}_2 \cdot (\mathbf{p} \times \mathbf{p}') \\ &+ \frac{1}{(\mathbf{p} + \mathbf{p}')^2} \boldsymbol{\sigma}_1 \cdot (\mathbf{p} + \mathbf{p}') \boldsymbol{\sigma}_2 \cdot (\mathbf{p} + \mathbf{p}') \\ &+ \frac{1}{(\mathbf{p} - \mathbf{p}')^2} \boldsymbol{\sigma}_1 \cdot (\mathbf{p} - \mathbf{p}') \boldsymbol{\sigma}_2 \cdot (\mathbf{p} - \mathbf{p}'). \end{aligned}$$



5 linear independent amplitudes

**Wolfenstein Amplitudes**

# 2010

PHYSICAL REVIEW C **81**, 034006 (2010)

## Two-nucleon systems in three dimensions

J. Golak,<sup>1</sup> W. Glöckle,<sup>2</sup> R. Skibiński,<sup>1</sup> H. Witała,<sup>1</sup> D. Rozpędzik,<sup>1</sup> K. Topolnicki,<sup>1</sup> I. Fachruddin,<sup>3</sup> Ch. Elster,<sup>4</sup> and A. Nogga<sup>5</sup>

<sup>1</sup>*M. Smoluchowski Institute of Physics, Jagiellonian University, PL-30059 Kraków, Poland*

<sup>2</sup>*Institut für Theoretische Physik II, Ruhr-Universität Bochum, D-44780 Bochum, Germany*

<sup>3</sup>*Departemen Fisika, Universitas Indonesia, Depok 16424, Indonesia*

<sup>4</sup>*Institute of Nuclear and Particle Physics, Department of Physics and Astronomy, Ohio University, Athens, Ohio 45701, USA*

<sup>5</sup>*Forschungszentrum Jülich, Institut für Kernphysik (Theorie), Institute for Advanced Simulation and Jülich Center for Hadron Physics, D-52425 Jülich, Germany*

(Received 11 January 2010; published 24 March 2010)

A recently developed formulation for treating two- and three-nucleon bound states in a three-dimensional formulation based on spin-momentum operators is extended to nucleon-nucleon scattering. Here the nucleon-nucleon  $T$ -matrix is represented by six spin-momentum operators accompanied by six scalar functions of momentum vectors. We present the formulation and provide numerical examples for the deuteron and nucleon-nucleon scattering observables. A comparison to results from a standard partial-wave decomposition establishes the reliability of this formulation.

DOI: [10.1103/PhysRevC.81.034006](https://doi.org/10.1103/PhysRevC.81.034006)

PACS number(s): 21.30.-x, 21.45.Bc

# Operators from symmetry consideration

- Spin-momentum

- Spin-position

1 central

$\sigma_1 \cdot \sigma_2$  Spin-spin

$i(\sigma_1 + \sigma_2) \cdot (\mathbf{p} \times \mathbf{p}')$

Spin-orbit  $\mathbf{L} \cdot \mathbf{S}$

$\sigma_1 \cdot (\mathbf{p} \times \mathbf{p}') \sigma_2 \cdot (\mathbf{p} \times \mathbf{p}')$

Quadratic spin-orbit

$\sigma_1 \cdot (\mathbf{p}' + \mathbf{p}) \sigma_2 \cdot (\mathbf{p}' + \mathbf{p})$

$\sigma_1 \cdot (\mathbf{p}' - \mathbf{p}) \sigma_2 \cdot (\mathbf{p}' - \mathbf{p})$

Tensor

$$(-S_{12}) = -3(\vec{\sigma}_1 \cdot \hat{r})(\vec{\sigma}_2 \cdot \hat{r}) + \vec{\sigma}_1 \cdot \vec{\sigma}_2$$

Once Operator structure is fixed:

Each operator can be multiplied with scalar functions depending on magnitudes of momenta / positions

Phenomenology

# Calculation of Phenomenological Nucleon-Nucleon Potentials\*

First:

J. L. GAMMEL, R. S. CHRISTIAN, AND R. M. THALER  
*Los Alamos Scientific Laboratory, Los Alamos, New Mexico*  
(Received August 27, 1956)

An attempt to find a phenomenological nucleon-nucleon potential is described. The class of charge and velocity independent potentials with central and tensor parts of Yukawa shape with a hard core is considered. The depths, ranges, and core radii of such potentials with general spin and parity dependence are adjusted to fit experimental data. No potential of this type is found which fits all of the data.

## II. FORM OF THE POTENTIALS

The potentials considered in this paper are all of the form

$$\begin{aligned} V(r) &= \infty, & r < r_0 \\ V(r) &= V_c(r) + V_t(r)S_{12}, & r > r_0, \end{aligned} \quad (1)$$

where  $S_{12}$  is the tensor operator.<sup>8</sup> The potentials are assumed to have a Yukawa shape; that is,

$$\begin{aligned} V_c(r) &= -V_c \exp(-r/r_c)/(r/r_c), \\ V_t(r) &= -V_t \exp(-r/r_t)/(r/r_t), \\ \mu_c &\equiv 1/r_c, \quad \mu_t \equiv 1/r_t. \end{aligned} \quad (2)$$

The five parameters  $V_c$ ,  $r_c$ ,  $V_t$ ,  $r_t$ , and  $r_0$  depend on the spin and parity ( $V_t=0$  for  $S=0$ ). We assume that the radius of the hard core is independent of parity, but not necessarily the spin. The reason for this assumption is that the odd-parity scattering does not depend sensitively on the radius of a small hard core unless the potential is very singular. Thus the calculation depends on fourteen parameters.

**Radial Schrödinger equation:**

**S = 0 (singlet)**

**S = 1 (triplett)**

**J = L+S is the conserved quantum number**

**Each channel has its own parameters**



## Most famous:

ANNALS OF PHYSICS: 50, 411–448 (1968)

### Local Phenomenological Nucleon-Nucleon Potentials\*†

RODERICK V. REID, JR.

## Some details and Comments:

$$V^{\text{OPEP}} = (g^2/12) mc^2(m/M)^2 \tau_1 \cdot \tau_2 \left[ \sigma_1 \cdot \sigma_2 + S_{12} \left( 1 + \frac{3}{x} + \frac{3}{x^2} \right) \right] e^{-x/x}$$

### Potential has as physics input the pion exchange

- Parameters are fitted to data = observables available at the time roughly to laboratory kinetic energy 300 MeV
- Short and intermediate range parts of the potential are parameterized by Yukawa functions.
- In 1993 the Nijmegen group refitted the parameters to current data for a REID93 potential

Home

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Past, present, and future

NN interaction

YN interaction

$\pi$ NN coupling constants

Publications

Code

Physics in Nijmegen

## Nucleon-Nucleon phase shifts

Make a figure of phase shifts as a function of  $T_{lab}$ .

|   |  |
|---|--|
| Choose at least one, upto five, of the following <a href="#">models</a> | <input type="checkbox"/> PWA: PWA93<br><input type="checkbox"/> POT: ESC96<br><input type="checkbox"/> POT: NijmI<br><input type="checkbox"/> POT: NijmII<br><input checked="" type="checkbox"/> POT: Reid93<br><input type="checkbox"/> POT: Nijm93 |
| Choose the interaction  | <input type="radio"/> proton-proton <input checked="" type="radio"/> neutron-proton  |
| Choose a maximum $T_{lab}$ (between 10 and 350 MeV)                     | <input type="text" value="300"/>   |
| Give the <a href="#">phase</a>  | <input type="text" value="3p2"/>   |
| <a href="#">Plot options</a>  | <input checked="" type="radio"/> PostScript <input type="radio"/> PDF <input type="radio"/> PNG<br><input checked="" type="radio"/> color <input type="radio"/> black/white  |





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[Pion Photoproduction](#)

[Pion Electroproduction](#)

[Kaon Photoproduction](#)

[Eta Photoproduction](#)

[Eta-Prime Photoproduction](#)

[Pion-Deuteron \(elastic\)](#)

[Pion-Deuteron to Proton+Proton](#)

#### Analyses From Other Sites

[Mainz \(MAID - Analyses\)](#)

[Nijmegen \(Nucleon-Nucleon OnLine\)](#)

[Bonn-Gatchina \(PWA\)](#)

[Juelich-Bonn-Washington \(PWA\)](#)

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#### Contact

[William Briscoe](#)  
[Michael Doering](#)  
[Helmut Haberzettl](#)  
[Igor Strakovsky](#)  
[Ron Workman](#)

## INS DAC Services [\[SAID Program\]](#)

- The SAID Partial-Wave Analysis Facility is based at GWU.
- New features are being added and will first appear at this site. Suggestions for improvements are always welcome.

### Instructions for Using the Partial-Wave Analyses

The programs accessible with the left-hand side navigation bar allow the user to access a number of features available through the SAID program. Contact a member of our group if you are unfamiliar with the SSH version. If you enter choices which are unphysical, you may still get an answer (in accordance with the 'garbage in, garbage out' rule). Please report unexpected garbage-out to the management.

**Note:** These programs use HTML forms to run the SAID code. If unfamiliar with the options, run the default setup first. The output is an (edited) echo of an interactive session which would have resulted had you used the SSH version. If the default example fails to clarify the specific task you have in mind, we can help ([just send an e-mail message](#)).

All programs expect energies in **MeV** units. All of the solutions and potentials have limited ranges of validity. Some are unstable beyond their upper energy limits. Extrapolated results may not make much sense.

**Increments:** The programs will not allow an arbitrary number of points to be generated. As a rule, stay below **50**.

### ACKNOWLEDGMENTS

The **INS Data Analysis Center** is partially funded by the U.S. Department of Energy, and the Research Enhancement Funds of The George Washington University, with strong support from the GW Virginia Science and Technology Campus and technical support from Dr. G.A. MacLachlan.



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<https://gwdac.phys.gwu.edu/>



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# 1960's: Golden Era of Particle Physics

- Discovery of mesons and baryons
- Attempt: Mesons heavier than the pion responsible for the short range part of the nuclear force
- Field theory and Feynman diagrams
- **Symmetry:**
- Lorentz invariance

| Fermions           |   | Bosons            |                         |
|--------------------|---|-------------------|-------------------------|
| Leptons and Quarks | Spin = $\frac{1}{2}$                                      | Spin = $1^*$      | Force Carrier Particles |
| Baryons (qqq)      | Spin = $\frac{1}{2}$<br>$\frac{3}{2}, \frac{5}{2}, \dots$ | Spin = 0, 1, 2... | Mesons (q $\bar{q}$ )   |

## Covariant bilinear forms:

$$\Gamma^S = \mathbf{1}$$

$$\Gamma_\mu^V = \gamma_\mu$$

$$\Gamma_{\mu\nu}^T = \sigma_{\mu\nu} = \frac{1}{2}[\gamma_\mu, \gamma_\nu]$$

$$\Gamma_\mu^A = \gamma_5 \gamma_\mu$$

$$\Gamma^P = i\gamma^0\gamma^1\gamma^2\gamma^3 = \gamma_5 \equiv \gamma^5$$

# Meson Exchange NN forces

**1960's**

Many pions = multi-pion resonances:

$\sigma(600)$ ,  $\rho(770)$ ,  $\omega(782)$  ...

**One-Boson-Exchange Model**

**1970's**

**Refined Meson Theories**

**Sophisticated models for two-pion exchange:**

Paris Potential (Lacombe *et al.*, PRC **21**, 861 (1980))

Bonn potential (Machleidt *et al.*, Phys. Rep. **149**, 1 (1987))

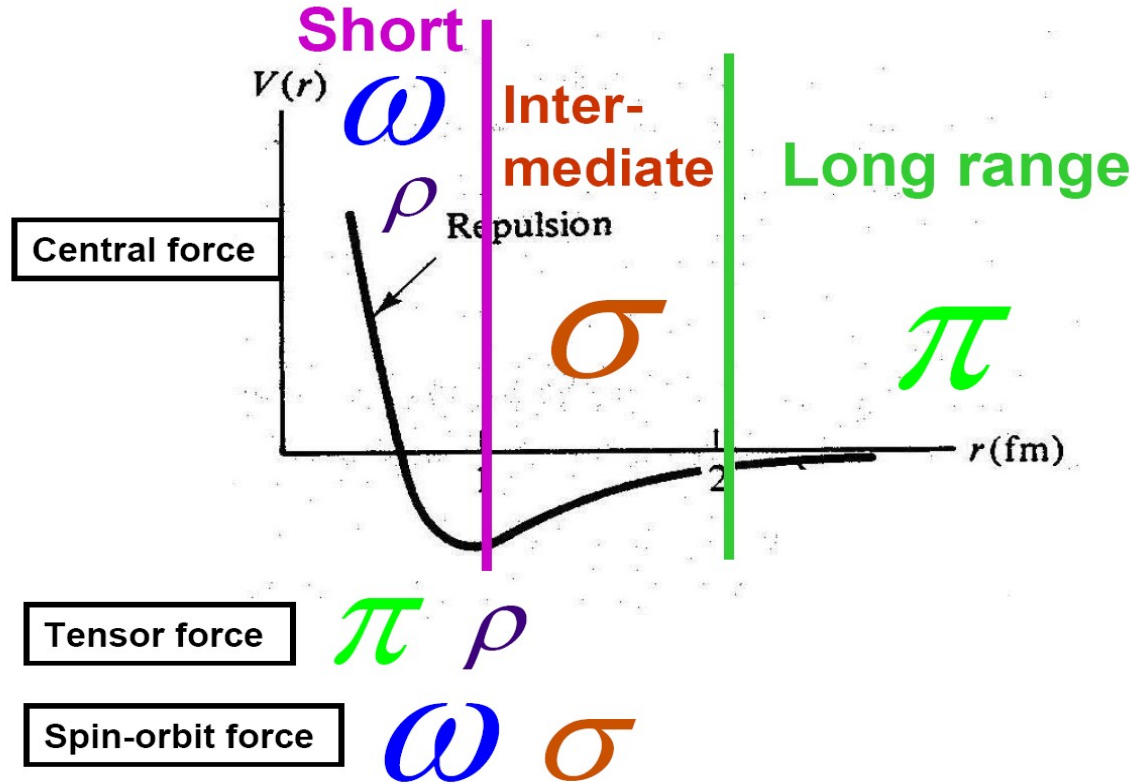
**Open Questions:**

Which mesons and nucleon resonances ?

Loop diagrams of strong interaction diverge

=> **Cutoff's at vertices as effective size of the nucleon.**

# Mesons in the NN Force

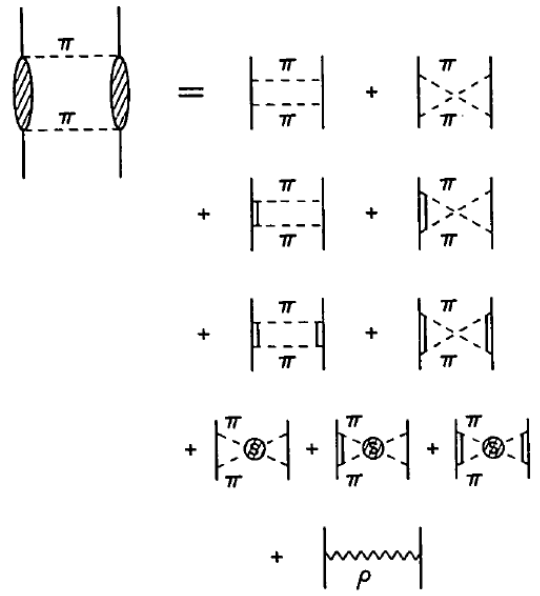
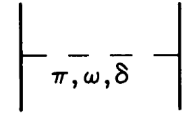


# Meson diagrams of the Bonn Potential

R. Machleidt, K. Holinde, Ch. Elster

Phys.Rept. 149 (1987) 1-89

One boson exchange



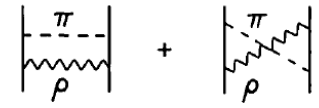
$2\pi NN$

$2\pi N\Delta$

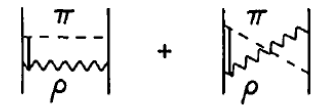
$2\pi\Delta\Delta$

$\pi\pi$ -S CORR

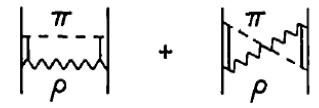
$\rho$



$\pi\rho NN$



$\pi\rho N\Delta$

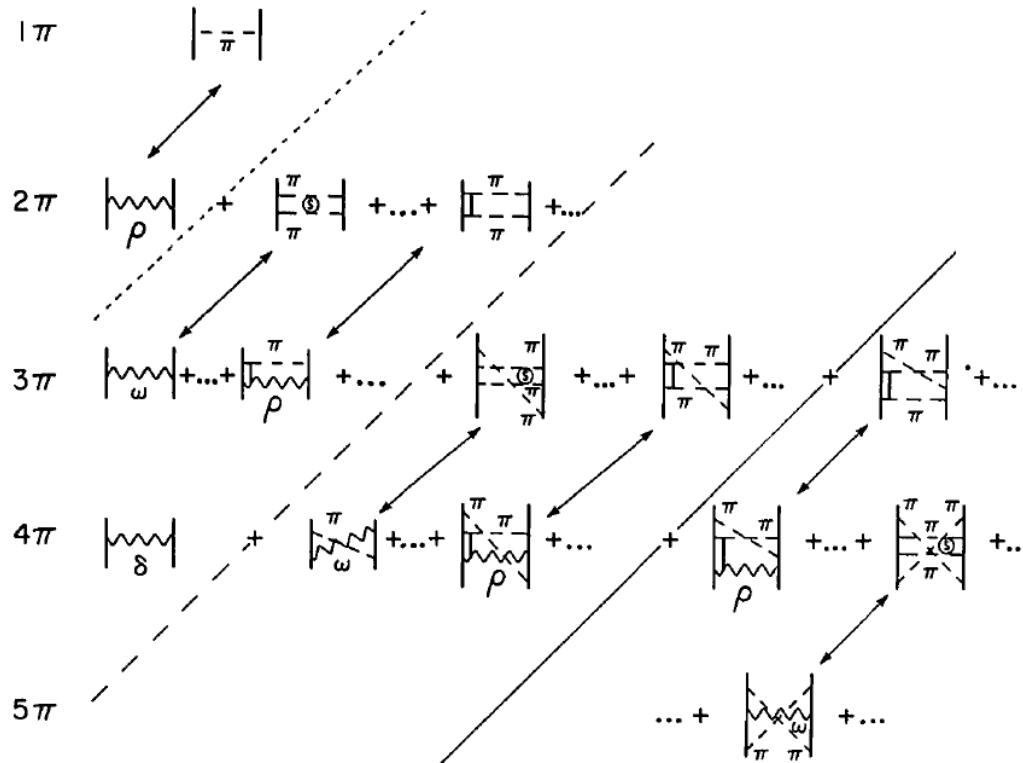


$\pi\rho\Delta\Delta$

3pi exchange

2pi exchange

# Meson diagrams of the Bonn potential organized according to pion exchanges



We could see convergence in the phase shifts and observables when using a specific grouping of the diagrams:

Always  $\pi$  and  $\rho$  (tensor) and  $2\pi$  and  $3\pi$  ( $\omega$ ) considered together

This is **not** a mathematical proof of convergence!



# Explicit pions: Pion production in NN scattering above the pion production threshold ( $E_{lab}=287$ MeV)

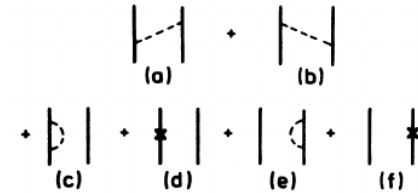
PHYSICAL REVIEW C

VOLUME 37, NUMBER 4

APRIL 1988

**Extension of the Bonn meson exchange NN potential above pion production threshold:  
Nucleon renormalization and unitarity**

Ch. Elster, W. Ferchländer, K. Holinde,\* and D. Schütte



**Pion self-energy diagrams need to be explicitly considered to preserve three-body unitarity.**

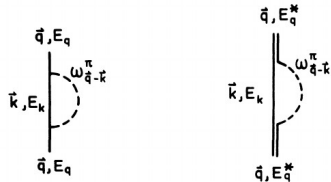
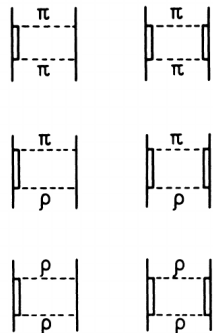
PHYSICAL REVIEW C

VOLUME 38, NUMBER 4

OCTOBER 1988

**Extension of the Bonn meson exchange NN potential above pion production threshold:  
Role of the delta isobar**

Ch. Elster,\* K. Holinde,<sup>†</sup> and D. Schütte



**Unique up to today :  
NN interaction up to 1 GeV**

**Similar work in the same spirit:  
Different formalism**

PHYSICAL REVIEW C

VOLUME 33, NUMBER 6

JUNE 1986

**Relativistic three-body approach to NN scattering at intermediate energies**

E. van Faassen

*Department of Physics and Astronomy, University of Maryland, College Park, Maryland 20742*

J. A. Tjon

*Institute for Theoretical Physics, 3508 TA Utrecht, The Netherlands*

(Received 20 January 1986)

PHYSICAL REVIEW C

VOLUME 36, NUMBER 4

OCTOBER 1987

**Unitary meson-exchange  $\pi$ NN models: NN and  $\pi$ d elastic scattering**

T.-S. H. Lee

*Physics Division, Argonne National Laboratory, Argonne, Illinois 60439*

A. Matsuyama\*

*Swiss Institute for Nuclear Research, Villigen, Switzerland  
and Faculty of Liberal Arts, Shizuoka University, Shizuoka, Japan*

# Progress in Three-Nucleon Physics asked for more accurate NN forces as input

**1980's**

**Nijmegen: We need more precision!!!**

**“A  $\chi^2/\text{dat}$  of  $\approx 2$  is not good enough, it has to be 1.0”**

**1990's**

**1993: The high-precision Nijmegen phase shift analysis**

**1994-2001: High-precision NN potentials:**

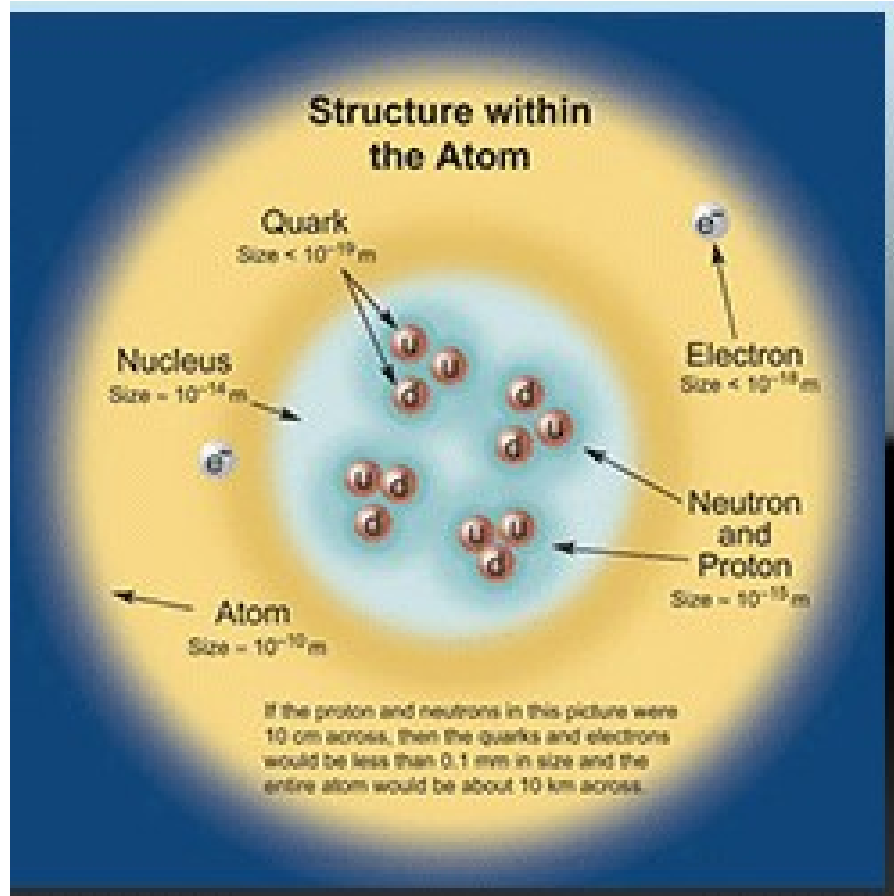
**Nijmegen I, II, '93, Reid93 (Stoks et al. 1994)**

**Argonne V18 (Wiringa et al, 1995)**

**CD-Bonn (Machleidt et al. 1996, 2001)**

**Nijmegen and CD-Bonn: Partial waves fitted**

**AV18: Operators with scalar functions**



1963 Gell-Mann: 3 quarks

1970's

Interaction between quarks via gluon exchange:

Quantum-Chromodynamics (QCD)

# Effective Theory and Chiral Potentials

## Degrees of freedom

Quark/Gluon dynamics (QCD)

$$\mathcal{L}_{\text{QCD}} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R - \frac{1}{2} \text{Tr} G_{\mu\nu} G^{\mu\nu} - \bar{q}_R M q_L - \bar{q}_L M q_R$$



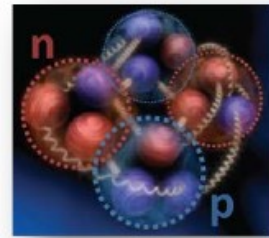
Nucleon/Pion dynamics

**Tool:** Effective Field Theory (EFT)

High energy



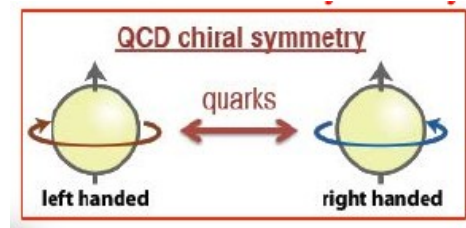
Low energy



## Symmetry

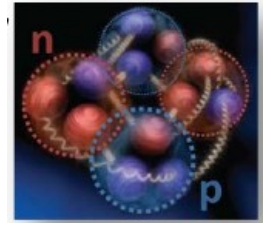
What is most important for a theory? The symmetries and not the degrees of freedom.

The usual (Lorentz covariance, parity, etc.)+  
**Chiral symmetry**

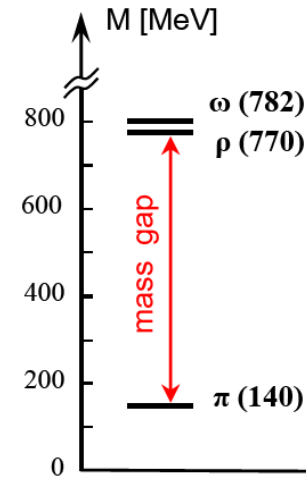
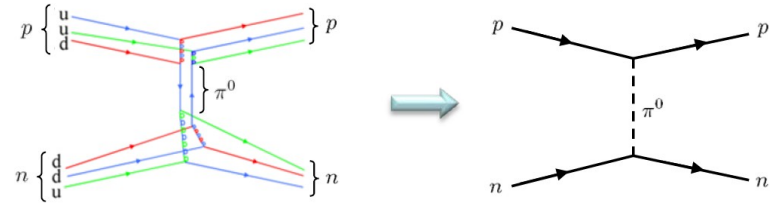


# Effective Theory and Chiral Potentials

Low energy



- Asymptotically observed states are effective degrees of freedom  $\rightarrow$  EFT
- Spontaneously broken approximate chiral symmetry of QCD plays important role
- Light ( $m_\pi$ ) and heavy ( $m_\rho$ ) mass scales are well separated

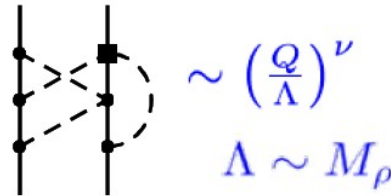


# Chiral EFT for Nuclear Forces

- **Framework**

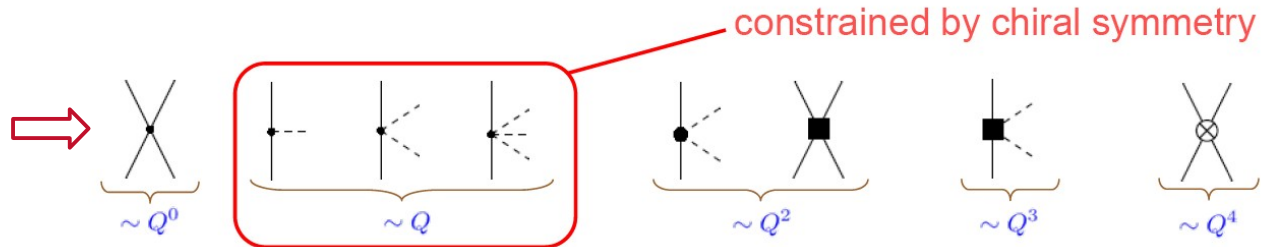
- Use ChPT to calculate irreducible contributions (=nuclear force)
- Solve Schrödinger equation to calculate observables

- **Power Counting**



- **Vertices**

Short range contact term  $\Rightarrow$



# Write down the most general Lagrangian consistent with Symmetries

## Hierarchy of terms → Power Counting

$$\mathcal{L}_{\text{eft}} = \mathcal{L}_{\pi\pi} + \mathcal{L}_{\pi N} + \mathcal{L}_{NN}$$

$$\mathcal{L}^{(0)} = \frac{1}{2} \partial_\mu \pi \cdot \partial^\mu \pi - \frac{1}{2} m_\pi^2 \pi^2 + N^\dagger \left[ i \partial_0 + \frac{g_A}{2f_\pi} \tau_\sigma \cdot \nabla \pi - \frac{1}{4f_\pi^2} \tau \cdot (\pi \times \dot{\pi}) \right] N$$

$$- \frac{1}{2} C_S (N^\dagger N) (N^\dagger N) - \frac{1}{2} C_T (N^\dagger \sigma N) (N^\dagger \sigma N) + \dots,$$

$$\mathcal{L}^{(1)} = N^\dagger \left[ 4c_1 m_\pi^2 - \frac{2c_1}{f_\pi^2} m_\pi^2 \pi^2 + \frac{c_2}{f_\pi^2} \dot{\pi}^2 + \frac{c_3}{f_\pi^2} (\partial_\mu \pi \cdot \partial^\mu \pi) \right.$$

$$\left. - \frac{c_4}{2f_\pi^2} \epsilon_{ijk} \epsilon_{abc} \sigma_i \tau_a (\nabla_j \pi_b) (\nabla_k \pi_c) \right] N$$

$$- \frac{D}{4f_\pi} (N^\dagger N) (N^\dagger \sigma \tau N) \cdot \nabla \pi - \frac{1}{2} E (N^\dagger N) (N^\dagger \tau N) \cdot (N^\dagger \tau N) + \dots$$

Weinberg counting

Infinite # of unknown parameters (LEC's), but leads to  
**hierarchy** of diagrams:  $\nu = -4 + 2N + 2L + \sum_i (d_i + n_i/2 - 2) \geq 0$

$N$  = # external nucleons

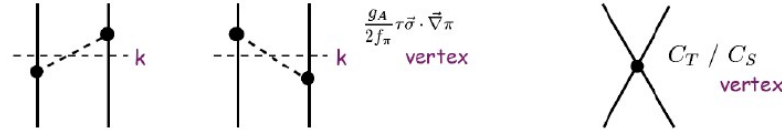
$d_i$  = # derivatives or  $m_\pi$  at  $i^{\text{th}}$  vertex

$L$  = # loops

$n_i$  = # nucleons at  $i^{\text{th}}$  vertex

# Calculate to the desired order

LO time-ordered diagrams



$$\begin{aligned}
 V_{1\pi}(\mathbf{q}) &= \left(\frac{g_A}{2f_\pi}\right)^2 \frac{\tau_1 \cdot \tau_2}{2\omega_q} \left\{ \frac{\sigma_1 \cdot \mathbf{q} \sigma_2 \cdot \mathbf{q}}{\frac{p_1^2}{2M} + \frac{p_2^2}{2M} - \frac{p_1'^2}{2M} - \frac{p_2'^2}{2M} - \omega_q} + \text{2nd diagram} \right\} \\
 &= -\left(\frac{g_A}{2f_\pi}\right)^2 \tau_1 \cdot \tau_2 \frac{\sigma_1 \cdot \mathbf{q} \sigma_2 \cdot \mathbf{q}}{\mathbf{q}^2 + m_\pi^2}
 \end{aligned}$$

one pion exchange

zero-range contact term at LO

$$V_C = C_S + C_T \sigma_1 \cdot \sigma_2$$

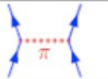

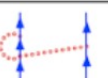
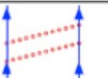

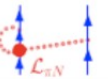
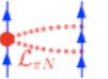
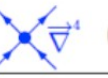
regularize (WHY?)

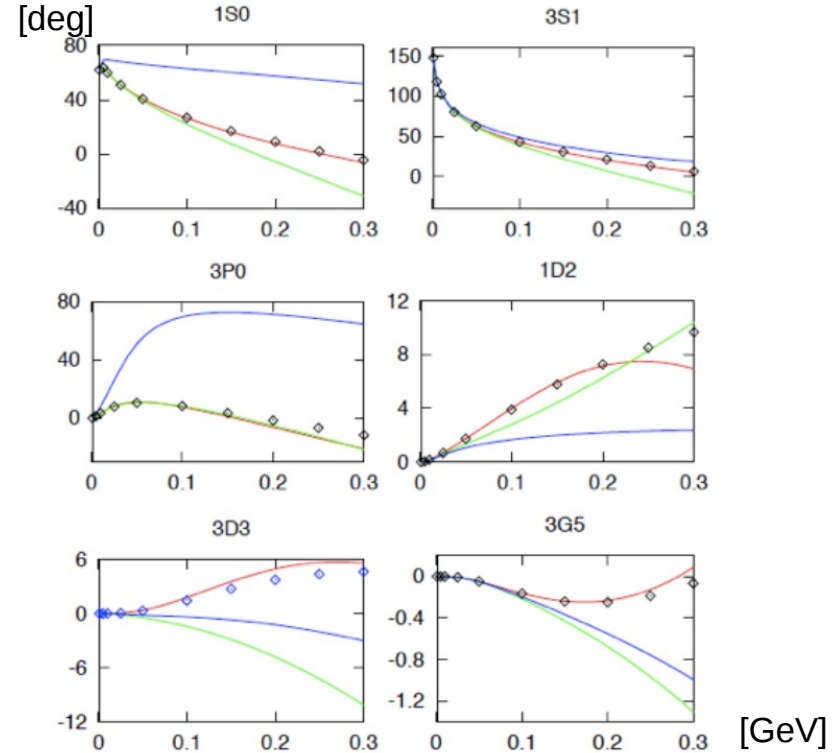
$$V(\mathbf{p}', \mathbf{p}) \rightarrow e^{-(p'/\Lambda)^{2n}} V(\mathbf{p}', \mathbf{p}) e^{-(p/\Lambda)^{2n}}$$



# Chiral EFT for the two-nucleon potential

- Epelbaum, Meißner, et al.
- Also Entem, Machleidt
- $\mathcal{L}_{\pi N}$  + match at low energy

| $Q^\nu$ | $1\pi$  | $2\pi$  | $4N$   |
|---------|---|---|--|
| $Q^0$   |  | —   |  (2)  |
| $Q^1$   |   |   |  |
| $Q^2$   |  |  |  (7)  |
| $Q^3$   |  |  |  |
| $Q^4$   | many  | many  |  (15) |

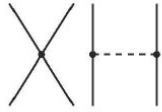


Approaches level of accuracy (and fit parameters via the LECs)  
of “conventional” models at N3LO

## 2N forces

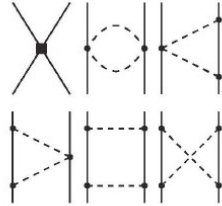
Leading Order

$Q^0$   
LO



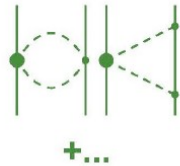
Next-to Leading Order

$Q^2$   
NLO



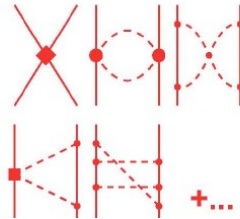
Next-to-Next-to Leading Order

$Q^3$   
 $N^2LO$



Next-to-Next-to-Next-to Leading Order

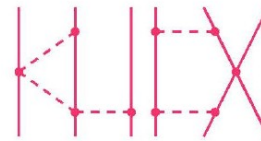
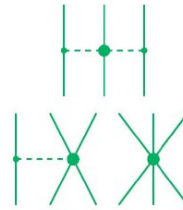
$Q^4$   
 $N^3LO$



## 3N forces

## 4N forces

# Hierarchy of nuclear forces



# Why the cutoff $\Lambda$ ?

Need to match unknown LECs to data (e.g., phaseshifts). Solve LS eqn:

$$T(k, k) = V(k, k) + \frac{2}{\pi} \int q^2 dq \frac{V(k, q)T(q, k)}{k^2 - q^2} \quad \text{where} \quad \tan \delta(k) = -kT(k, k)$$

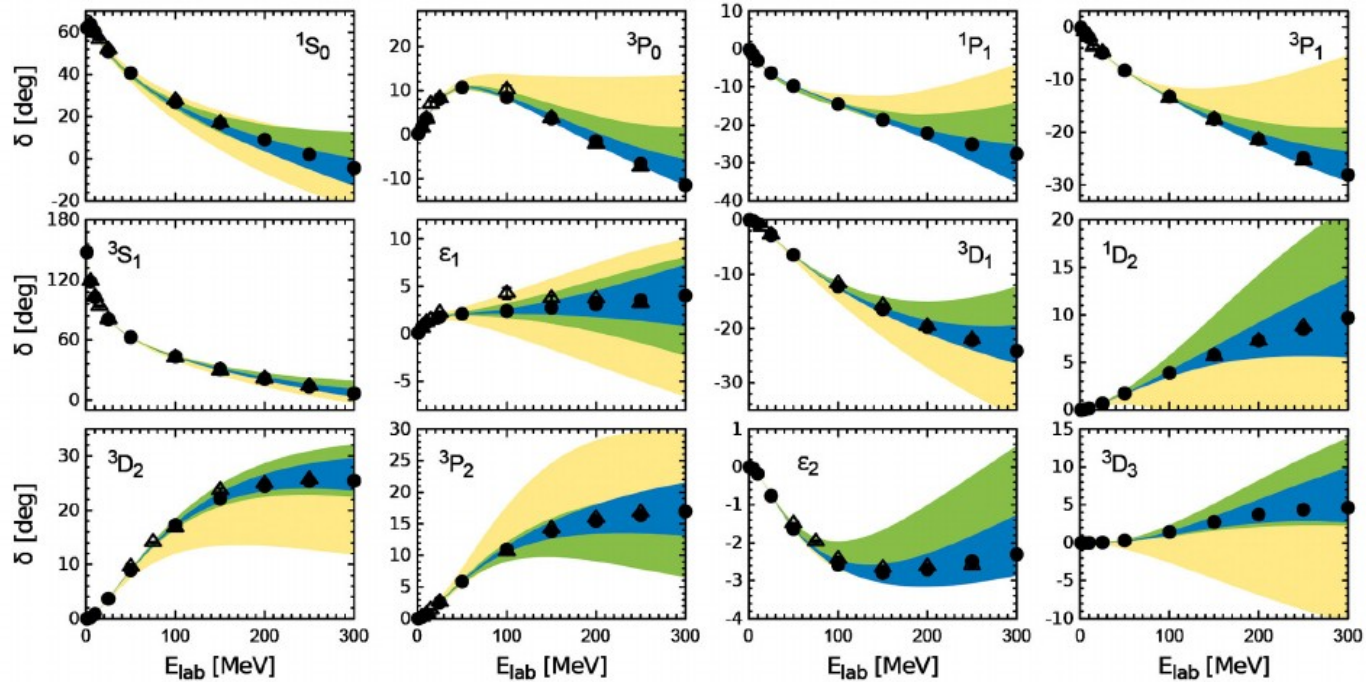
NN loop integral UV divergent  $\Rightarrow$  regularization and renormalization

- ☉ details of cutoff (sharp, smooth, etc.) don't matter to low E physics
- ☉ LECs now “run” with  $\Lambda$

No such thing as “the” chiral potential of a given order. Infinitely many regularization/renormalization schemes  $\Rightarrow$  any differences should be higher order effects.

**Truncation errors** of observables go as  $\mathcal{O}\left(\frac{Q^\nu}{\Lambda^\nu}\right)$   
**“theoretical error bars” from varying  $\Lambda$**

From Epelbaum, Krebs, Meissner, *Eur. Phys. J. A51*, 53 (2015)

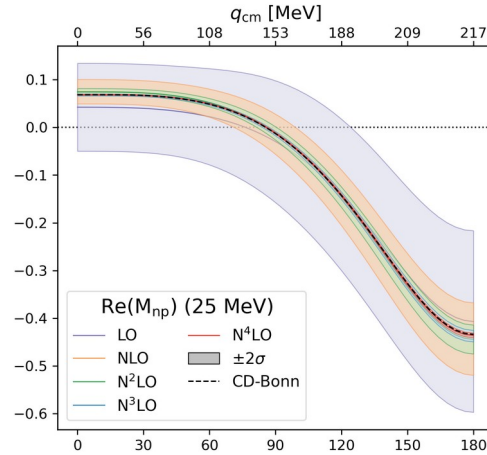
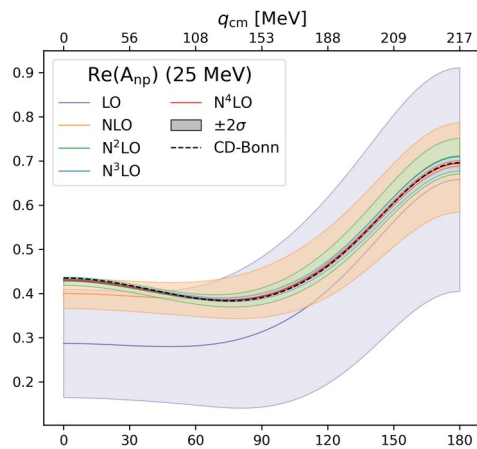


**Fig. 9.** (Color online) Estimated theoretical uncertainty of the np phase shifts at NLO, N<sup>2</sup>LO and N<sup>3</sup>LO based on the cutoff of  $R = 0.9$  fm in comparison with the NPWA [45] (solid dots) and the GWU single-energy np partial wave analysis [94] (open triangles). The light-(yellow), medium-(green) and dark-(blue) shaded bands depict the estimated theoretical uncertainties at NLO, N<sup>2</sup>LO and N<sup>3</sup>LO, as explained in the text. Only those partial waves are shown which have been used in the fits at N<sup>3</sup>LO.

# Order-by-order convergence of Wolfenstein np amplitudes: EKM chiral potential with cutoff R=0.9 fm

$A \sim 1$

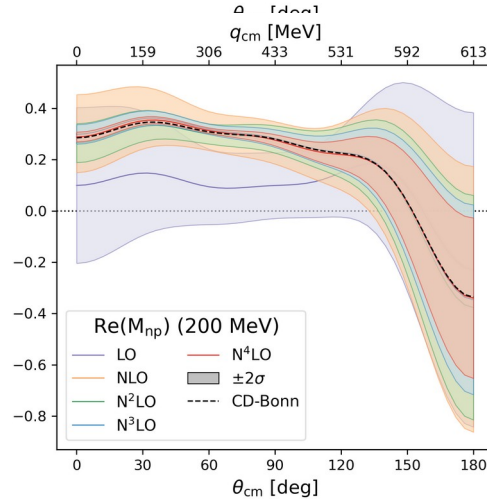
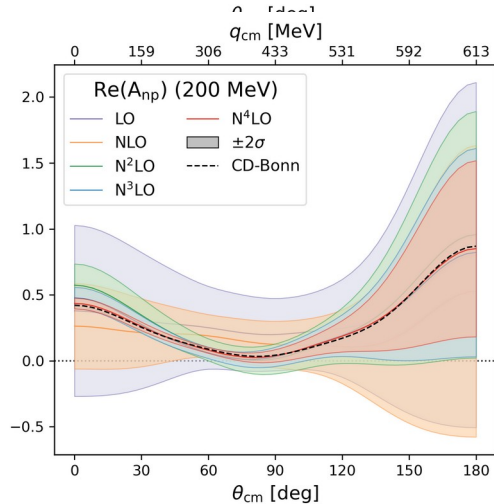
Central  
part of  
interaction



$M \sim$  tensor  
part of  
interaction

$\Lambda_b = 750$  MeV

Found by doing  
Bayesian statistical  
analysis

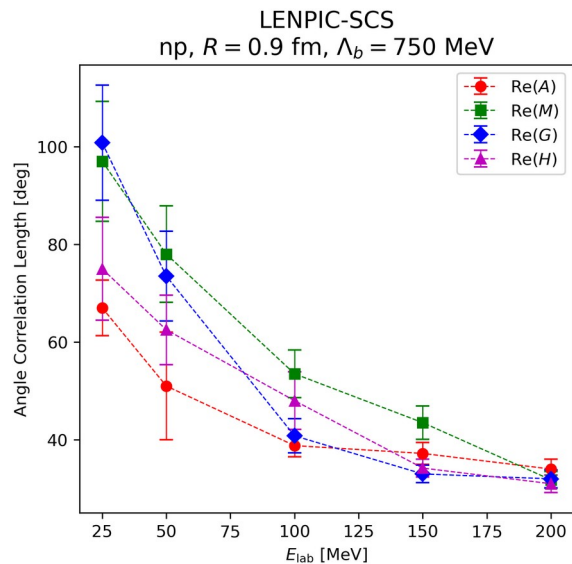
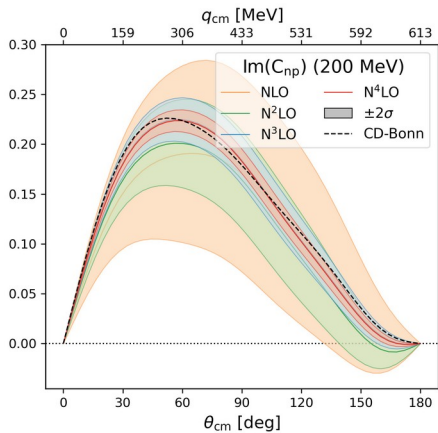
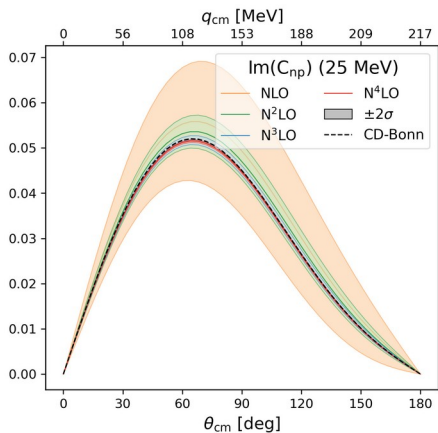


B. McClung,  
D.R. Phillips,  
Ch. Elster

# Order-by-order convergence of Wolfenstein np amplitudes: EKM chiral potential with cutoff $R=0.9$ fm

$\text{Im } C \sim i\sigma \cdot n$

Spin-Orbit  
part of  
potential



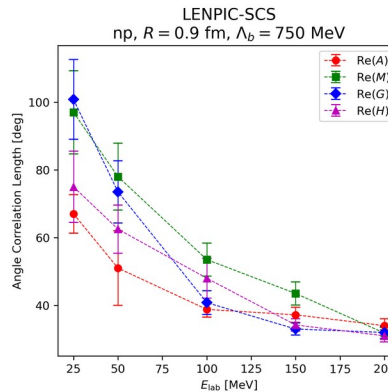
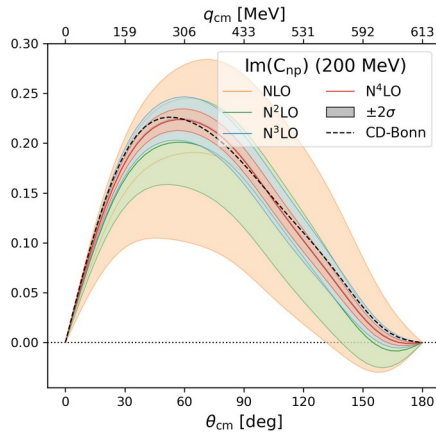
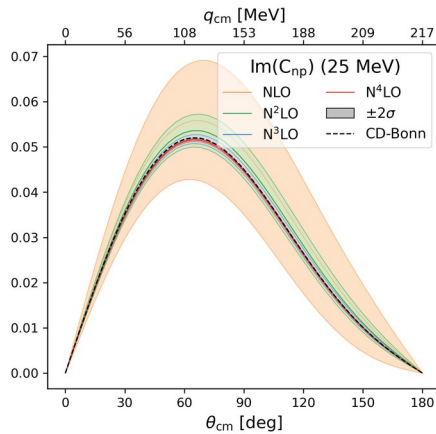
Correlation length  
as function of  
angle

B. McClung,  
D.R. Phillips,  
Ch. Elster

# Order-by-order convergence of Wolfenstein np amplitudes: EKM chiral potential with cutoff R=0.9 fm

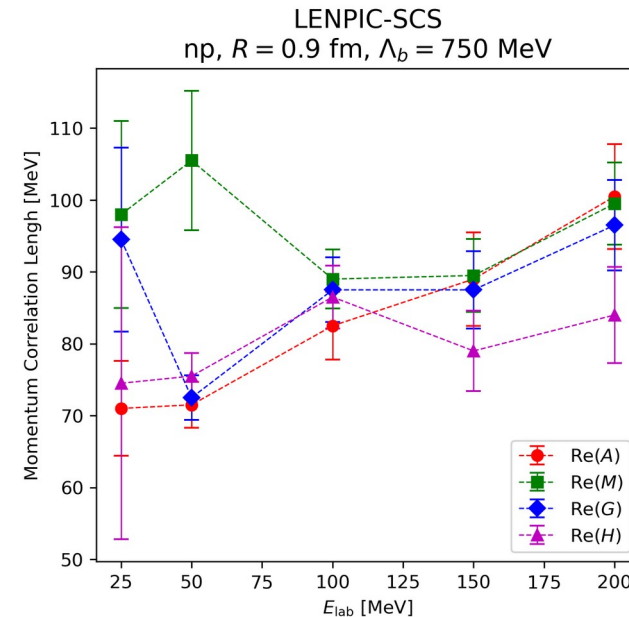
B. McClung,  
D.R. Phillips,  
Ch. Elster

$\text{Im } C \sim i\sigma \cdot n$   
Spin-Orbit  
part of  
potential



Correlation length as  
**function of  
momentum** is  
relatively **constant**  
with respect to

- **Lab energies**
- **amplitudes**



# Chiral two-nucleon forces: Summary

- Contact terms are fixed by observables
- In practice: different partial waves fixed different contact terms
- The higher the order, the better the description of the phase shift.

*Ordóñez, Ray, van Kolck '94, '96*

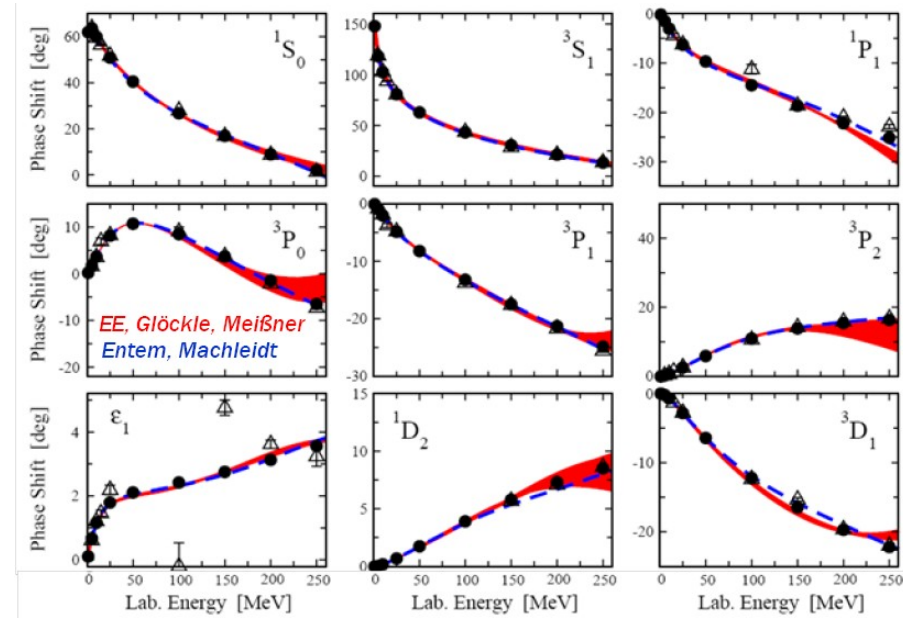
*Kaiser, Brockmann, Weise '97*

*E.E., Glöckle, Meißner '98 - '05*

*Kaiser '99 - '02*

*Higa, Robilotta, da Rocha '03 - '05*

*Entem, Machleidt '02 - '04*

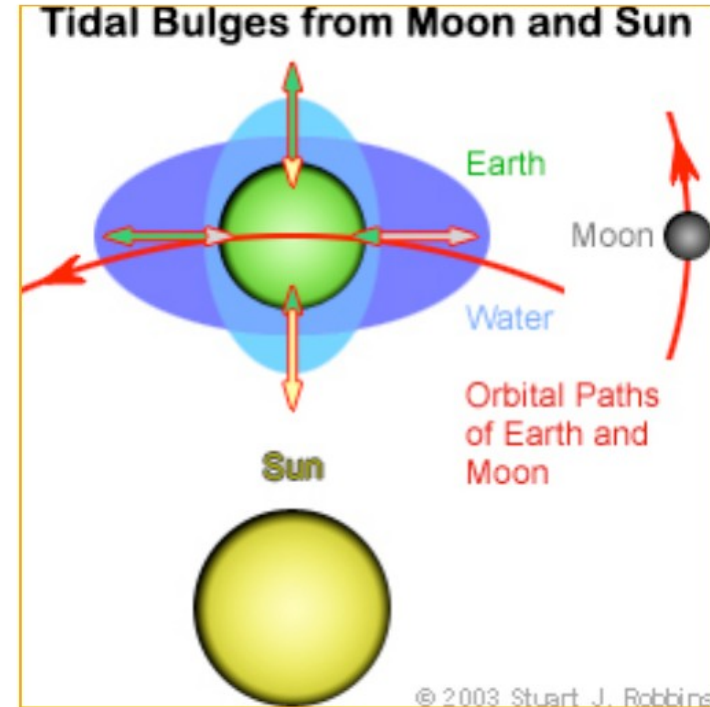
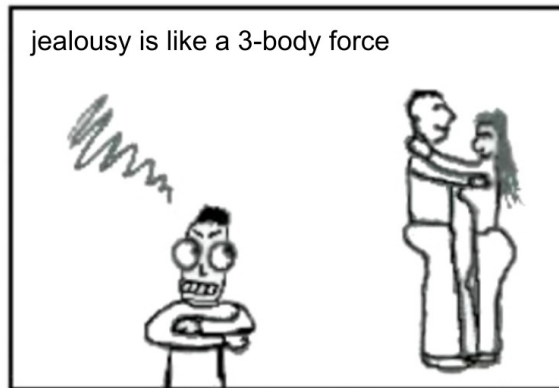




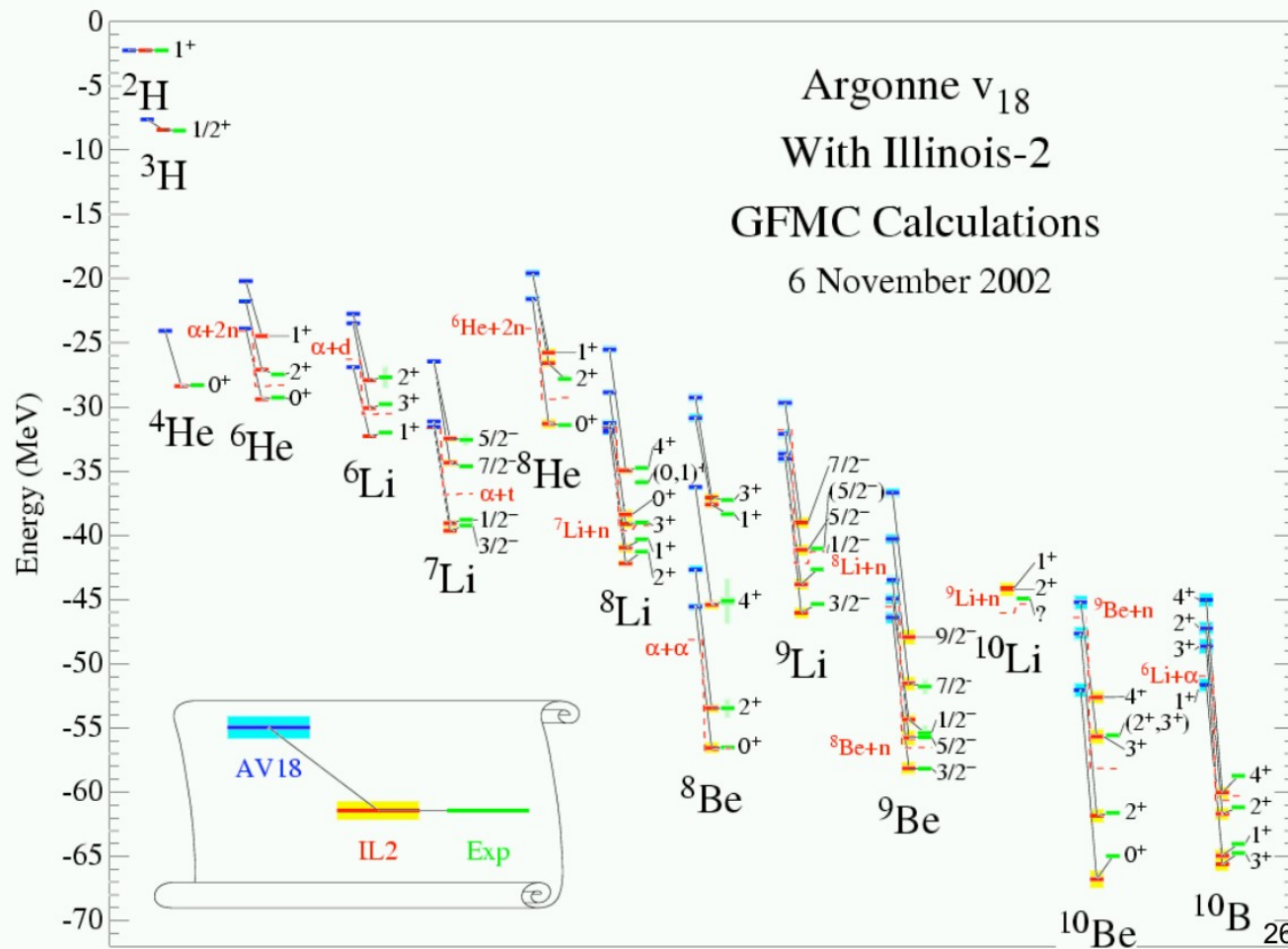
# Three-body Forces

From Wikipedia, the free encyclopedia

A **three-body force** is a force that does not exist in a system of two objects but appears in a three-body system. In general, if the behaviour of a system of more than two objects cannot be described by the two-body interactions between all possible pairs, as a first approximation, the deviation is mainly due to a three-body force.



# Evidence for 3N in light nuclei: overall binding & level ordering



# A theorem for three-body Hamiltonians

Polyzou and Glöckle, Few Body Systems 9, 97 (1990)

Different two-body Hamiltonians can be made to fit two-body and three-body data by including a 3NF into one of the Hamiltonians

**Theorem.** Let

$$H_{ij} = H_i + H_j + V_{ij} \quad \text{and} \quad \bar{H}_{ij} = H_i + H_j + \bar{V}_{ij} \quad (1.1)$$

be two-body Hamiltonians with the same binding energies and scattering matrices for each pair of particles  $i$  and  $j$ . Assume that the two-body Hamiltonians are asymptotically complete and that the unitary transformations relating these two-body Hamiltonians, which necessarily exist, have bounded Cayley transforms. Then there exists a three-body interaction,  $W$ , such that the two three-body Hamiltonians

$$H = H_1 + H_2 + H_3 + V_{12} + V_{23} + V_{31} \quad (1.2)$$

and

$$\bar{H} = \bar{H} + W \quad (1.3)$$

with

$$\bar{H} = H_1 + H_2 + H_3 + \bar{V}_{12} + \bar{V}_{23} + \bar{V}_{31} \quad (1.4)$$

have the same binding energies and scattering matrix.

**Corollary.** Under the assumptions of the theorem, if  $V_{(123)}$  is a three-body interaction then there exists another three-body interaction  $\bar{V}_{(123)}$  such that

$$H = H_1 + H_2 + H_3 + V_{12} + V_{23} + V_{31} + V_{(123)}$$

and

$$\bar{H} = H_1 + H_2 + H_3 + \bar{V}_{12} + \bar{V}_{23} + \bar{V}_{31} + \bar{V}_{(123)}$$

have the same binding energies and scattering matrix.

## Implications:

- (1) There are no experiments measuring only three-body binding energies and phase shifts that can determine if there are no three-body forces in a three-body system. The question makes no sense. The correct statement is that there may be some systems for which it is possible to find a representation in which three-body forces are not needed.
- (2) Different off-shell extensions of two-body forces can be equivalently realized as three-body interactions.
- (4) Three-body forces cannot be determined in a manner that is independent of the two-body interaction.

# Few-Body Forces from Chiral EFT

Separation of scales: low momenta  $Q \ll \Lambda_b$  breakdown scale

|   | NN | 3N | 4N |
|---|----|----|----|
| LO $\mathcal{O}\left(\frac{Q^0}{\Lambda^0}\right)$                |    | —  | —  |
| NLO $\mathcal{O}\left(\frac{Q^2}{\Lambda^2}\right)$               |    | —  | —  |
| N <sup>2</sup> LO $\mathcal{O}\left(\frac{Q^3}{\Lambda^3}\right)$ |    |    | —  |
| N <sup>3</sup> LO $\mathcal{O}\left(\frac{Q^4}{\Lambda^4}\right)$ |    |    |    |

Explains  $2N > 3N > 4N$

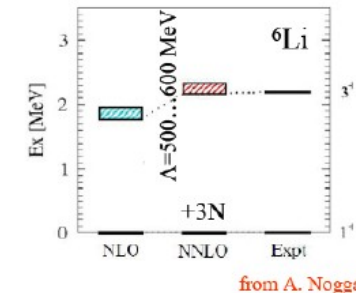
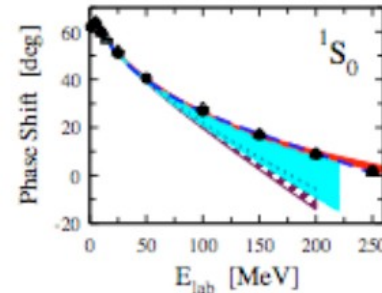
Formal Consistency

NN and NNN from same Lagrangian

$\pi\pi$  and  $\pi N$ , electroweak

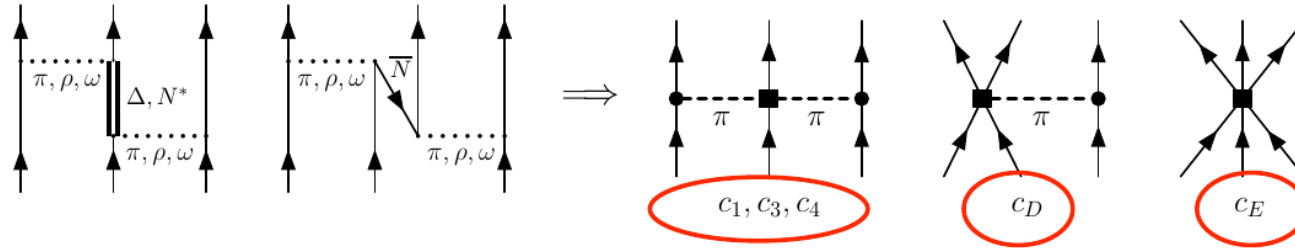
Broken chiral symmetry of QCD

Error estimates from  $\Lambda$  variation



from A. Nogga

# Eliminating DOF leads to 3-body forces



## Leading three-nucleon force

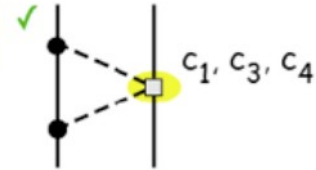
1. Long-ranged two-pion term (Fujita & Miza ...)
2. Intermediate-ranged one-pion term
3. Short-ranged three-nucleon contact

The question is not: Do three-body forces enter the description?  
**The (only) question is: How large are three-body forces?**  
**And at what resolution scale?**

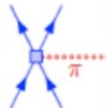
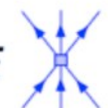
# Typical fitting of 3NF LEC's at N<sup>2</sup>LO (A. Nogga)

- Fitting  $c_1$ ,  $c_3$ , and  $c_4$

|                                      | $c_1$ | $c_3$ | $c_4$ |
|--------------------------------------|-------|-------|-------|
| NN phase shift analysis              | -0.76 | -4.78 | 3.96  |
| $\pi$ N scattering (dispersion rel.) | -0.81 | -4.70 | 3.40  |
| $\pi$ N scattering (directly)        | -1.23 | -5.94 | 3.47  |
| NN pert. 3F4                         | -0.81 | -3.40 | 3.40  |
| NN potential fit to data             | -0.81 | -3.20 | 5.40  |

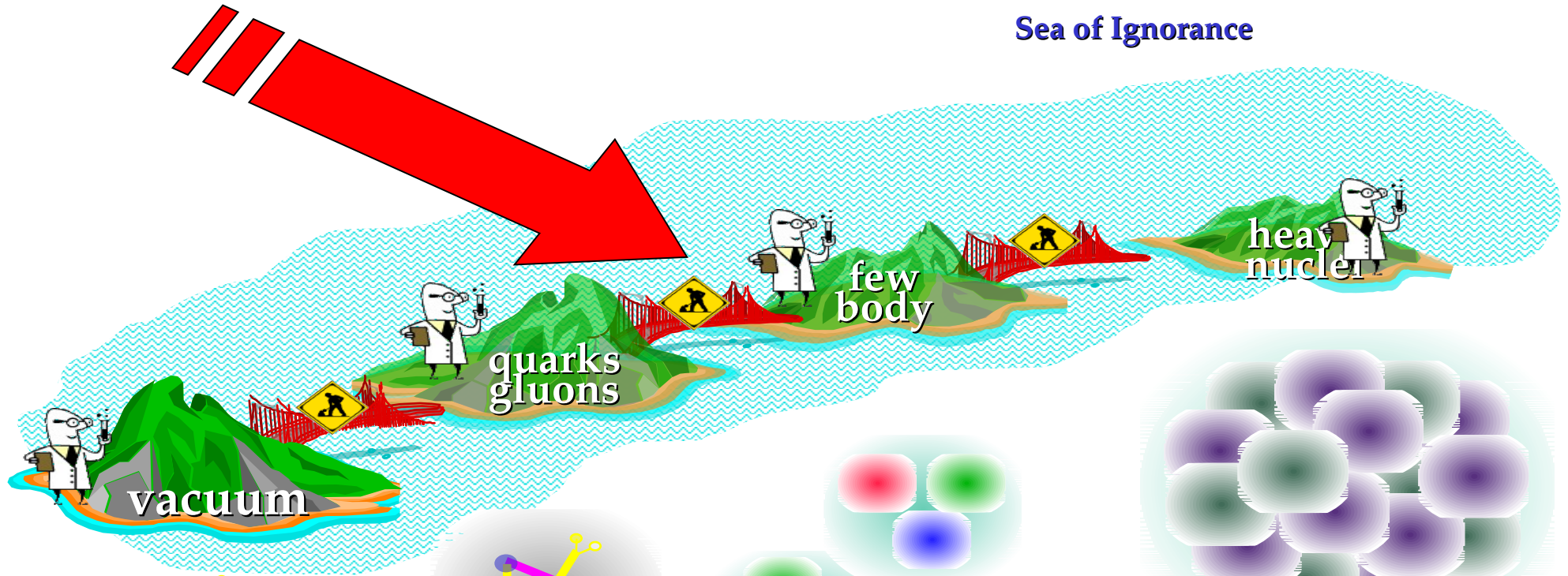


- Significant uncertainties!

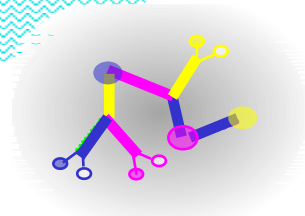
- Fitting  $D$   and  $E$  

- $D$  appears in pion production from NN, but not analyzed
- $E$  requires a 3N observable
- Typically  $D$  and  $E$  fit together to triton binding energy and  $^4\text{He}$  binding energy *or* radius; or sometimes to 3-body energy and scattering length

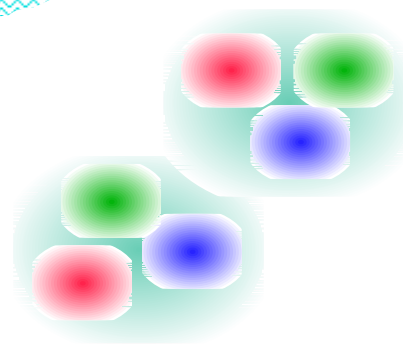
# Sea of Ignorance



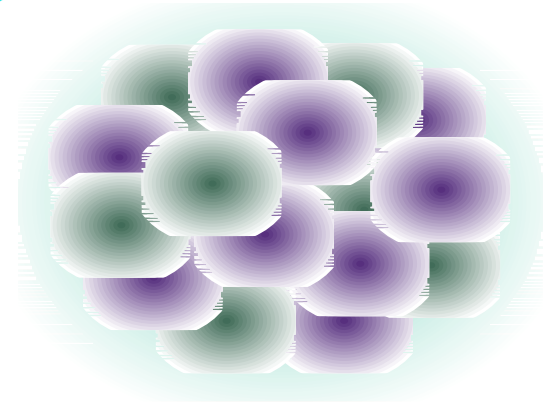
quark-gluon  
soup  
QCD



nucleon  
QCD



few body systems  
free NN force



many body systems  
effective NN force